nucleus

London & Capital fact sheet

London&Capital

What is your corporate background?

London & Capital is a leading wealth manager with US$3.9billion under management. Since 1986 we have been providing exceptional wealth management services to HNW private clients and their families, trusts and financial intermediaries, as well as institutions. Unrestricted by any corporate affiliations we have complete freedom to choose from the best managers in the market.

We focus on investing in both traditional and alternative asset classes to create diversified multi-asset portfolios. Our investment philosophy is simple: we believe that optimised dynamic asset allocation drives consistent investment returns over the longer term.

Over the last 8 years London & Capital and its staff have won a number of awards, including:

2006: Winner of Portfolio International Awards for 'Best Wealth Management Product'.

2007: Winner of Spears Wealth Management Survey Awards for Investment Management Firm of the Year for High Net Worth Investors.

2008: Won 'Rising Star of Wealth Management' at Institutional Investors Awards.

Commended at the Pension & Investment Provider 2008 Awards for 'Asset Allocation'.

2009: Winner of the Opal Emerging Managers Summit Fund of Funds – emerging managers section.

2010: Winner of 'Top 40 Under 40' awarded by PAM.
Awarded Spear's Top Recommended Award – HNW Manager Index.
Awarded Boutique Wealth Management Firm of the Year 2010 – Spears Wealth Management Awards.

2011: Recommended in the Citywealth leaders

Nominated for Spear's Boutique Wealth Manager of the Year Award

Recommended in the Citywealth Leaders List

2012: Shortlisted 'Private Client Asset Manager of the Year' CityWealth

Winner of 'Top 40 Under 40' awarded by Financial News

Chosen by IPE as one of Top 400 Managers in Europe

2013: Step Private Client Awards 2013 - Shortlisted for Investment Team of the Year

Winner - Professional Adviser – International Fund and Products Awards 2013 – Best International Private Wealth Management Provider

Professional Adviser Awards 2013 – shortlisted for Best Outsourced Investment Solution

IPE Investment Awards 2013 - Top 400 Asset Managers

Why should I consider using your discretionary fund management service?

We have a 20 year track record of running discretionary managed portfolios based around our Capital Preservation ethos and have a successful record of preserving and growing client assets through changing market conditions. We offer a suite of services covering managed, bespoke and tailored portfolios for clients of all sizes.

In January 2010, we launched our suite of managed portfolios. The managed portfolios are 7 risk graded portfolios aligned to clients' risk appetites. Each portfolio is constructed to spread risk, providing diversified multi-asset portfolios designed to protect capital and provide sustainable returns within a tight risk control framework.

London & Capital Managed Portfolios – what makes us different?

- Cost: 25 bps + VAT (most compelling in the market)
- Minimum investment: £1,000 – accessible for ALL clients
- De-risk: Ability to move 100% into cash in volatile markets
- RDR: service focusing on the principles of RDR
- Discretionary: No writing to clients for consent to switch
- Monitoring: Managed daily with a full team of portfolio managers and specialist research analysts
- Control: Client details NOT shared with London & Capital, you retain client control
- Business: Structure enhances business model through diversifying risk, embracing technology and cutting time.
- Range: Seven risk-graded portfolios to suit all risk appetites
- Income: Three income portfolios giving choice to the investor
- Performance: Superb risk-adjusted performance - by way of example, since inception (1st Jan 2010), Managed Portfolio 5 returned 20.8%, with a volatility of 5.6%

In 2011, we launched a suite of three income managed portfolios which offer income dividends as advisers demand different forms of income. The portfolios, risk-graded from lower to higher, targeting 4% to 8% returns are proving extremely popular as advisers look for diversification during an anaemic economy.

All 10 portfolios are actively managed. We rebalance the portfolios on a quarterly basis to ensure that the allocation remains aligned to the original risk profiles. Additionally the portfolios can be updated to make the most of the changing economic environment. For example, each portfolio is able to move into cash in volatile markets to protect clients' capital.

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What is your approach to discretionary fund management?

Our key focus is capital preservation. Our portfolios are constructed to spread risk, providing diversified multi-asset portfolios designed to protect capital and provide sustainable returns within a tight risk control framework.

The key input for the asset allocation decision is London & Capital's macro outlook and our expectations for key markets such as currencies, bonds and equities. This creates the framework for the asset allocation decisions with a risk/return overlay.

The portfolios are actively managed on a daily basis with tactical changes implemented at any time should it be required. For example, each portfolio is able to move into cash in volatile markets to protect clients' capital.

What investment solutions can you offer through the Nucleus platform?

- Seven risk graded managed portfolios.
- Three income portfolios.

What are your fees?

Managed portfolios: 0.25% AMC plus VAT (where applicable).

Who should I contact to discuss the service further?

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