



asset management

Thesis Asset Management fact sheet

What is your corporate background?

Thesis Asset Management was formed in 1974, the company has grown significantly over the last 40 years, with offices across four locations and assets under management in excess of £8 billion (as at August 2014)

We provide discretionary investment management for individuals, charities and trustees through our model portfolio service, personal investment portfolio service and Optima fund of funds range.

In addition, Thesis Unit Trust Management (TUTMAN) provides the construction and compliance oversight of collective investment schemes for wealthy families, IFA firms and other affinity groups. We can act as ACD within such schemes.

Why should I consider using your discretionary fund management service?

Thesis quality and service have been recognised by Defaqto, the independent analysis firm. Thesis was awarded the top 5 star award for Bespoke (Personal Investment Portfolio) and Model Portfolio Services plus a 4 Diamond rating for the Optima fund of funds range. Our centralised investment process has consistently delivered excellent investment performance matched by few.

Key features of Thesis' model portfolio service:

- A dynamic approach to managing risk which means that model portfolios are managed tactically within maximum and minimum asset allocation parameters with adjustments being made according to the current economic climate and the relative liquidity of asset classes.
- Our underlying process means all of our asset management runs from same process. The range of services is simply a different manifestation of the same consistent process.
- Regular rebalancing to keep the portfolios aligned to the original objectives.

What is your approach to discretionary fund management?

Our investment process has been designed by our dedicated research team and senior investment managers to be applied consistently over time. We are active in style, we don't aim to beat every other competitor, over every time period. Instead, we believe that medium to long term performance is delivered through a consistent, steady return.

Our portfolios target growth or income, or a mixture of both. The Thesis investment process results from the input of four investment committees to the Asset Allocation Committee, which then determines overall asset allocation. From this initial allocation, we derive seven model portfolios, each designed to target a different level of potential risk and return. These seven models are implemented in our personal investment

portfolios (PIP, which can be tailored into a bespoke portfolio where appropriate); our model portfolios, with the choice of active or passive underlying holdings; and our range of Optima funds of funds, which can be blended to match the different levels of risk and reward required to meet different investors' objectives.

What investment solutions can you offer through the Nucleus platform?

Seven risk rated portfolios invested in collectives.

The active management of the models is reflected in asset allocation and fund selection.

The asset allocation within these models benefits from the full investment process and diligence used within our fully bespoke services but at a cost and entry level that is suitable to a wide range of clients

What are your fees?

The annual management charge is 0.40% plus VAT. Any fees or charges payable to Nucleus are in addition to our management charge.

Available from £10,000.

Minimum regular contribution of £100 a month.

Models risk mapped to Distribution Technology's Dynamic Planner, FinaMetrica and eValue from Financial Express.

Who should I contact to discuss the service further?

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