



Optimus Capital fact sheet

What is your corporate background?

Optimus Capital is an employee owned asset management boutique founded in 2011. We work with financial intermediaries and directly managed private clients. The Model Portfolio Service (MPS) was launched in 2012, with the philosophy of creating the lowest cost service into which we ourselves would invest our own money. We have followed this philosophy since inception, and invest in the model portfolios alongside our clients, fully aligning our interests to theirs.

Members of our Investment Committee are experts in capital markets. They have experience in working for large wealth managers as well as major investment banks.

Why should I consider using your Discretionary Fund Management service?

Optimus seeks to provide clients with a real return over the long term, through diversified portfolios. With five risk-rated portfolios, two of which have an income bias, our service caters for clients across the risk-spectrum.

We offer clients the following benefits:

- **Hybrid Investing** – Clients benefit from the cost and performance enhancement of passive funds, with overwhelming statistical data suggesting that in most cases active stock selection does not add value. However we do use active managers to access opportunity sets not suitable for passive investment and in more opaque markets where we believe value can still be added.
- **Asset Allocation Focus & Style Biases** – Our efforts are focused on asset allocation, as we believe this is the main driver of returns. Our portfolios benefit from extensive analysis to identify the most likely sources of long term returns. These include adopting a global approach and seeking exposure to smaller companies and value stocks alongside core, traditional assets.
- **Aided by Exclusive Research** – We believe that research is vital in asset allocation and have invested in a macro-economic quantitative research boutique to provide us with exclusive insights to aid us with tactical decisions and risk controls.
- **Maximised Risk Adjusted Returns & Minimised Costs** – We believe in an absolute focus on risk management, controlling volatility, minimising draw-downs and maximising risk adjusted returns. We believe it is imperative to strip out all unnecessary cost, reducing the impact of fees on our clients' returns.
- **Daily Liquidity** – We believe firmly that our clients should have daily liquidity and this is assessed in our fund due-diligence.

What is your approach to discretionary fund management?

Our investment approach is built on the fundamentals of investment thinking, applying a common sense methodology around everything we do. Our approach combines detailed performance reviews with research and analysis.

The bedrock of our process relies on our strategic asset allocation for each risk rated portfolio. Our Investment Process then incorporates fundamental research, valuations, macro-economic data and technical indicators to produce our view on global asset markets and a framework for tactical decision making. Any new investment decision taken is backed up by an in-house research paper written by a member of the Investment Team. We then apply our risk controls to produce global, multi-asset portfolio allocations.

Finally, active and passive funds are selected to populate the model portfolios your clients invest in. Whilst underlying fund cost is a key factor we seek out funds that are the most suitable given our views on markets, stage in the economic cycle and investment products available.

By combining style biases and a global investment remit, incorporating a blend of active and passive funds, we have a very deep universe of investments to select from. We are able to use our asset allocation to diversify across asset class, region and sector.

What investment solutions can you offer through the Nucleus platform?

We provide the Optimus Capital MPS solution through the Nucleus platform. This includes a suite of five risk rated model portfolios, including two portfolios with a bias towards income. The solution is suitable for tax wrappers such as ISA and SIPP wrappers, as well as general investment accounts.

What are your fees?

The annual charge for our Model Portfolio Service is 0.30% (+VAT).

Who should I contact to discuss the service further?

To find out more about the Optimus Capital MPS, please email investments@optimuscapital.com.