



## 7IM fact sheet



### What is your corporate background?

Seven Investment Management (7IM) was launched in 2002 and now manages over £5.8bn of client assets. Over the past 11 years we have consolidated our reputation both for great service and steady performance, and we have been recognised with numerous awards for investment management.

We don't believe in 'star managers', instead preferring the structured, disciplined approach from a team of highly experienced investment professionals.

### Why should I consider using your model portfolio service?

Passive instruments tend to be much cheaper than actively managed funds. They remove the need for star managers who are often expensive and often do not beat their benchmarks over time, when costs are taken into consideration. In challenging economic times such as these, when costs can make the difference between your portfolio returning a profit or a loss, we think it is vital to keep costs as low as we can.

The benefits of investing in the 7IM Model Portfolios are:

- Institutional quality management without high retail charges
- Steady performance
- Management of risk
- Independent investment management
- Broad diversification of investments

Furthermore 7IM has no direct relationship with the underlying investors; they will deal with you and you retain responsibility for assessing initial and ongoing suitability.

### What is your approach to fund management?

The models are based on a Strategic Asset Allocation which 7IM derive in conjunction with Ibbotson Associates and review annually. This is based upon an historic analysis of asset class correlation data to provide optimised portfolios from an historic perspective.

This allows you to use the expected return and volatility numbers (measured as standard deviation) for each risk profile in your financial planning for the client. A Tactical Asset Allocation is applied once a quarter, with additional tactical changes made only in exceptional circumstances. The 7IM Investment team decide on this Tactical Asset Allocation, their decision making is informed by the views of the 7IM Asset Allocation committee which consists of a number of independent investment professionals, averaging over 25 years experience each in managing money.

7IM conduct due diligence on the passive instruments within the models, using the extensive experience gained and applied from the highly successful CF 7IM Asset Allocated Passive (AAP) range of funds. 7IM will normally give five working days notice via email that changes are to be made to the model portfolios, and then 7IM will issue a document detailing the changes to the asset allocation and any changes to the securities within the models, usually within five working days of the changes being made.

The changes will normally take place in mid January, mid April, mid July and mid October.

### What investment solutions can you offer through the Nucleus platform?

The 7IM Model Portfolios are a range of risk-rated model portfolios which use a version of the 7IM asset allocation process employed for the CF 7IM Asset Allocated Passive (AAP) Funds. This process consists of a strategic (backward looking) analysis, combined with a tactical (forward looking) view, and the resultant asset allocation is fulfilled with low cost passive instruments, with due diligence done by 7IM.

The models are:

- 7IM Cautious
- 7IM Moderately Cautious
- 7IM Balanced
- 7IM Moderately Adventurous
- 7IM Adventurous
- 7IM Income

These are the charges for the 7IM Model Portfolios – excluding VAT.

Model Portfolio	AMC
7IM Cautious	0.25%
7IM Mod Cautious	0.25%
7IM Balanced	0.25%
7IM Mod Adventurous	0.25%
7IM Adventurous	0.25%
7IM Income	0.25%

- The ongoing charges figure of the underlying passive instruments is around 0.2% depending on risk profile and tax wrapper.
- Any fees or charges levied by the Platform are in addition to the above.
- Any fees or charges payable to the Financial Adviser are in addition to the above.

In addition 7IM also manages a range of funds – Multi Manager Funds, Asset Allocated Passive Funds and Specialist Funds. The two funds enjoy exactly the same disciplined approach to investment as the models. For more detailed information on our fund range please take a look at our website [www.7im.co.uk](http://www.7im.co.uk) or call us on 0207 760 8777.

### Who should I contact to discuss the service further?

Please contact our Intermediary Regional Sales Team on 0207 760 8777 between 08:30 – 17:30 or at [information@7im.co.uk](mailto:information@7im.co.uk).

Or for more information please visit [www.7im.co.uk](http://www.7im.co.uk).