



## King & Shaxson Ethical Investing fact sheet



### What is your corporate background?

King & Shaxson Ethical Investing is a division of King & Shaxson which is part of the Phillip Group, a global company employing over 3,000 people worldwide. The company dates back to 1866, with clients including well-known building societies and local authorities. King & Shaxson has evolved into a specialist investment firm focused on securities dealing, asset management, and treasury consultancy. The ethical team is personally committed to ethical investment. It is strictly an investment management business, not advising clients, and therefore conducts the majority of its business through independent financial advisers.

### Why should I consider using your Discretionary Fund Management service?

Our service makes it much easier to look after ethically minded clients. We conduct research into funds on a stock-by-stock basis. We also manage individual equities and bonds and therefore have both the experience and knowledge in a specialist area. We use the services of an ethical researcher to ensure the ethical integrity of our investments. We monitor our model portfolios on a daily basis - being focussed on this one specialist area we can give it the attention that it needs. We also use a broad range of asset classes enabling us to run portfolios across the risk spectrum.

### What is your approach to discretionary fund management?

Our business is portfolio management and we have specialised in managing ethical portfolios for clients since 2002 on both a bespoke portfolio, model portfolio, and fund basis. The ethical model portfolios avoid significant involvement in armaments, tobacco, human rights abuses and poor environmental management. At the same time, the portfolios also seek to invest in thematic investments that finance solutions to social and environmental challenges such as renewable energy, social property, resource management, environmental efficiency, public transport, sustainable forestry, healthcare, and water.

For fund based model portfolios we adopt a top down management – bottom up approach where asset allocation is the prime determinant in the make-up of each risk based model portfolio, with a mix of funds plus direct investments in fixed interest securities, equities, property and other assets. Where collective investments such as funds, investment trusts or exchange traded funds are used, our approach is to look through to the underlying stocks. We ensure they are stocks we would want to invest in ourselves and that they provide a wide spread of investments rather than a series of collectives with the same holdings.

### What investment solutions can you offer through the Nucleus platform?

Our discretionary service is designed for the ethically minded investor and provides a service that leaves the IFA free to focus on financial planning. The three portfolios cover a range of investor risk profiles and objectives. The "Cautious" model focuses on capital protection, the "Income" model aims to provide an attractive level of income from a balanced range of assets and the "Adventurous" model caters for those with a higher appetite for risk. All portfolios consist of ethically screened funds and stocks, as well as thematic investments that fit the requirements of an ethical investor.

### What are your fees?

0.5% + VAT per annum (scaleable)

### Who should I contact to discuss the service further?

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